

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application or Patent of: **Robert D'Amato**
 Application or Patent No.: **Not yet assigned**
 Filed or Issued: **Concurrently with application**
 For: **METHODS AND COMPOSITIONS FOR**
INHIBITION OF ANGIOGENESIS

Attorney's Docket No. 05213-0405

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(d) AND 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- () the owner of the small business concern identified below:
 (X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **Celgene Corporation**
 ADDRESS OF CONCERN: **7 Powder Horn Drive**
Warren, New Jersey 07059

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal years, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above, with regard to the invention entitled "METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS" by inventor Robert D'Amato described in

- () the specification filed herewith
 (X) Application No. 09/287,377, filed April 7, 1999
 () Patent No. _____, issued _____

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring their status as small entities. (37 CFR §1.27)

NAME OF SMALL BUSINESS CONCERN: **EntreMed, Inc.**
 ADDRESS OF CONCERN: **9610 Medical Center Drive, Suite 200**
Rockville, Maryland 20850

NAME OF NONPROFIT ORGANIZATION: **Children's Medical Center Corporation**
 ADDRESS OF ORGANIZATION: **300 Longwood Avenue**
Boston, Massachusetts 02115

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Sol J. Barer, Ph.D.

TITLE OF PERSON OTHER THAN OWNER:

President and COO

ADDRESS OF PERSON SIGNING:

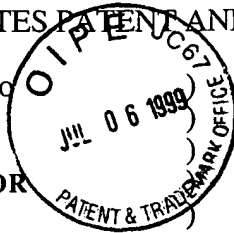
7 Powder Horn Drive
Warren, New Jersey 07059

DATE May 25, 1999 SIGNATURE _____



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application or Patent of: Robert D'Amato
Application or Patent No.: 09/287,377
Filed or Issued: April 7, 1999
For: **METHODS AND COMPOSITIONS FOR
INHIBITION OF ANGIOGENESIS**



Attorney's Docket No. 03757-0272

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **EntreMed, Inc.**
ADDRESS OF CONCERN: **9640 Medical Center Drive.
Rockville, Maryland 20850**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal years, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above, with regard to the invention entitled "METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS" by inventor **Robert D'Amato** described in

- ☐ the specification filed herewith
☒ Application No. 09/287,377, filed April 7, 1999
☐ Patent No. _____, issued _____

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business under 37 CFR §1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

**Note: Separate verified statements are required from each named person, concern or organization having rights to the invention and their status as small entities. (37 CFR §1.27)*

NAME OF NONPROFIT ORGANIZATION: **Children's Medical Center Corporation**
ADDRESS OF ORGANIZATION: **300 Longwood Avenue
Boston, Massachusetts 02115**

NAME OF SMALL BUSINESS CONCERN: **Celgene Corporation**
ADDRESS OF CONCERN: **7 Powder Horn Drive
Warren, New Jersey 07059**

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

John W. Holaday, Ph.D.

TITLE OF PERSON OTHER THAN OWNER:

President and Chief Executive Officer

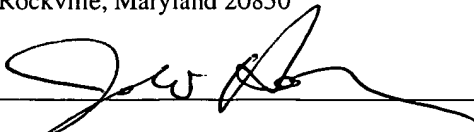
ADDRESS OF PERSON SIGNING:

~~9610~~ Medical Center Drive
Rockville, Maryland 20850

DATE

6/17/99

SIGNATURE



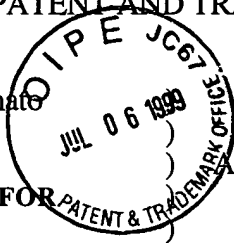
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In re Application or Patent of: Robert D'Amato

Application or Patent No.: 09/287,377

Filed or Issued: April 7, 1999

For: **METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS**



Attorney's Docket No. 03757-0272

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **Children's Medical Center Corporation**
ADDRESS OF ORGANIZATION: **300 Longwood Avenue
Boston, Massachusetts 02115**

TYPE OF ORGANIZATION: **University or Other Institution of Higher Education**

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR §1.9(e) for purposes of paying reduced fees under §§41(a) and (b) of Title 35 United States Code with regard to the invention entitled "**METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS**" by inventor **Robert D'Amato** described in the specification to be filed with the United States Patent and Trademark Office.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

**Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)*

NAME OF SMALL BUSINESS CONCERN: **EntreMed, Inc.**
ADDRESS OF CONCERN: **9610 Medical Center Drive, Suite 200
Rockville, Maryland 20850**

NAME OF SMALL BUSINESS CONCERN: **Celgene Corporation**
ADDRESS OF CONCERN: **7 Powder Horn Drive
Warren, New Jersey 07059**

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that

willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: William New
TITLE IN ORGANIZATION: Vice President, Research Administration
ADDRESS OF PERSON SIGNING: 300 Longwood Avenue
Boston, Massachusetts 02115

DATE

5/25/99

SIGNATURE

